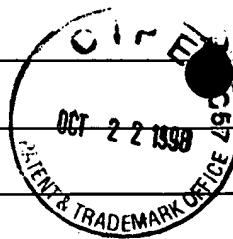


INFORMATION DISCLOSURE CITATION IN AN APPLICATION PTO 1449 (Use several sheets if necessary)				DOCKET NUMBER 40827.00004 APPLICANT Mark D. Riggins FILING DATE April 8, 1997		APPLICATION NUMBER 08/841,950 GROUP ART UNIT 3642 2766	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
PML	A	5,666,553	9/9/97	Keith Crozier	395	803	
PML	B	5,701,423	12/23/97	Keith Crozier	395	335	
PML	C	5,682,524	10/28/97	Freund et al.	395	605	
PML	D	5,778,346	7/7/98	Frid-Nielsen et al.	395	208	
PML	E	5,684,990	11/4/97	David Boothby	707	203	
	F						
FOREIGN PATENT DOCUMENTS							
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
	G						
	H						
	I						
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
PML	J	Article by Bellovin et al., entitled: "Network Firewalls" Published by IEEE Communications Magazine September 1994, pages 50-57.					
PML	K	Article by Steffen Stempel, entitled: "IPAccess-An Internet Service Access System for Firewall Installations" Published by IEEE Communications Magazine February 16, 1995, pages 31-41.					
PML	L	Article by Braun et al., entitled: "Web Traffic Characterization: an assessment of the impact of caching documents from NCSA's web server" Published by Elsevier Science B.V. 1995 pages 37-51.					
PML	M	Article by Nelson et al., entitled: "Security for Infinite Networks" Published by IEEE Communications Magazine on August 22, 1995, pages 11-19.					
PML	N	Article by Greenwald et al., entitled: "Designing an Academic Firewall: Policy, Practice, and Experience with SURF" Published by IEEE Communications Magazine on February 22, 1996, pages 79-92.					
PML	O	Article by Kiuchi et al., entitled: "C-HTTP—The Development of a Secure, Closed HTTP-based Network on the Internet" Published by IEEE Proceedings of SNDSS on February 22, 1996, pages 64-75.					
PML	P	Article by S. Cobb, entitled: "Establishing Firewall Policy" Published by National Computer Security Assn. on June 25-27, 1996, pages 198-205.					
	Q						
EXAMINER PINCUS M Laufer				DATE CONSIDERED April 16, 1999			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not information and not considered. Include copy of this with next communication to applicant.							

INFORMATION DISCLOSURE CITATION IN AN APPLICATION PTO 1449 (Use several sheets if necessary)				DOCKET NUMBER 40827.00004		APPLICATION NUMBER 08/841,950	
				APPLICANT Mark D. Riggins		GROUP ART UNIT 3642 2766	
				FILING DATE April 8, 1997			
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
PML	A	5,706,502	1/6/98	Foley et al	395	610	
	B						
	C						
	D						
	E						
	F						
	G						
	H						
FOREIGN PATENT DOCUMENTS							
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
	I						
	J						
	K						
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
PML	L	Article by Mark Brown, entitled: "Using NetScape 2" Published by Que Corporation 1995, pages 885-907.					
PML	M	Article by Douglas Kramer, entitled: "The Java Platform" Published by JavaSoft, Inc. May 1996, pages 1-25.					
PML	N	Package java.applet http://www-nrg.eelbl.gov/jef/apibook/javam.htm , published by Sun Microsystems, Inc. April 1996					
PML	O	Package java.net http://www-nrg.eelbl.gov/jef/apibook/javaf.htm , published by Sun Microsystems, Inc. April 1996					
PML	P	Servlet Tutorial http://mech.postech.ac.kr/Java/java.sun.com/products/jeeves/CurrentRelease/doc/servlet_tutorial/servlet_tutorial.html 8/20/96.					
PML	Q	Java Servlet Application Programming Interface White Paper, Document Version 0.45, URL: http://mech.postech.ac.kr/Java/java.sun.com/products/jeeves/CurrentRelease/doc/api.html , Dated: August 2, 1996, Page(s): 1 - 6					
EXAMINER P. Nicholas M. Laufer				DATE CONSIDERED April 16, 1999			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not information and not considered. Include copy of this with next communication to applicant.							

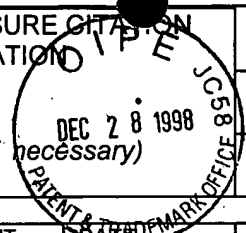


Received
OCT 28 1998
Group 2700

INFORMATION DISCLOSURE CITATION IN AN APPLICATION OCT 22 1998 (Use several sheets if necessary)				DOCKET NUMBER 40827.00004		APPLICATION NUMBER 08/841,950	
				APPLICANT Mark D. Riggins			
				FILING DATE April 8, 1997		GROUP ART UNIT 3642 2706	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
PML	A	4,831,582	5/1989	Miller et al.	364	900	
PML	B	5,263,157	11/1993	Janis	395	600	
PML	C	5,581,749	12/1996	Hossain et al.	395	600	
PML	D	5,613,012	3/1997	Hoffman et al.	382	115	
PML	E	5,627,658	5/1997	Connors et al.	358	407	
PML	F	5,715,403	2/1998	Stefik	395	244	
PML	G	5,757,916	5/1998	MacDoran et al.	380	25	
	H						
FOREIGN PATENT DOCUMENTS							
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
	I						
	J						
	K						
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	L						
	M						
	N						
	O						
	P						
	Q						
EXAMINER <i>Pinchas N. Laufer</i>				DATE CONSIDERED <i>April 15, 1999</i>			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not information and not considered. Include copy of this with next communication to applicant.							

Received
OCT 28 1998
Group 2700

Sheet 1 of 2
 2 2

INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>				DOCKET NUMBER 40827.00004		APPLICATION NUMBER 08/841,950	
				APPLICANT Mark D. Riggins		GROUP ART UNIT 3642	
				FILING DATE April 8, 1997			
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
PML	A	5,815,683	9/29/98	Vogler	395	500	
PML	B	5,812,668	9/22/98	Weber	380	24	
PML	C	5,802,530	9/1/98	Van Hoff	707	513	
PML	D	5,768,510	6/16/98	Gish	395	200.33	
PML	E	5,754,830	5/19/98	Butts et al.	395	500	
	F						
	G						
	H						
	I						
FOREIGN PATENT DOCUMENTS							
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	J						1002
	K						
	L						
	M						
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	N						
	O						
	P						
EXAMINER Pincus A. Laufen				DATE CONSIDERED April 15, 1999			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP section 609; Draw line through citation if not information and not considered. Include copy of this for with next communication to applicant.							

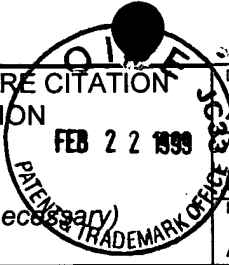
RECEIVED

JAN 08 1999

Group 2700

RECEIVED
 JAN 14 1999
 1002

#8



INFORMATION DISCLOSURE CITATION IN AN APPLICATION PTO 1449				DOCKET NUMBER 40827.00004		APPLICATION NUMBER 08/841,950	
(Use several sheets if necessary)				APPLICANT Mark D. Riggins		GROUP ART UNIT 3642	
FILING DATE April 8, 1997				U.S. PATENT DOCUMENTS			
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
PML	A	4,897,781	01/30/90	Albert Chang	364	200	
PML	B	5,623,601	04/22/97	Hung T. Vu	395	187.01	
PML	C	5,572,643	11/05/96	David H. Judson	395	793	
PML	D	5,647,002	07/08/97	Gordon Richards Brunson	380	49	
	E						
	F						
	G						
	H						
	I						
FOREIGN PATENT DOCUMENTS							
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
	J						
	K						
	L						
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	M						
	N						
	O						
	P						
EXAMINER Pinchus M. Laufer				DATE CONSIDERED April 15, 1999			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not information and not considered. Include copy of this with next communication to applicant.							

RECEIVED
MAR 08 1999
Group 2700

RECEIVED
MAR 22 1999
TECHNICAL CENTER 3642